

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK


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UNITED STATES OF AMERICA,	:	22cr105 (DLC)
	:	
-v-	:	<u>ORDER</u>
	:	
JAMES VELISSARIS,	:	
Defendant.	:	
-----X	:	

DENISE COTE, District Judge:

Assuming that sentencing may proceed after a ruling on the defendant's pending motion withdraw his plea, sentencing is scheduled for April 7 at 3:00 p.m. It is hereby

ORDERED that the parties shall confer in advance of sentencing as to whether a condition of supervised release should be imposed along the lines of the attached. The parties shall advise the Court as to their determination by April 5, 2023.

Dated: New York, New York  
March 30, 2023

  
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DENISE COTE  
United States District Judge

As an additional condition of supervised release, the defendant shall not:

1. Act as, be employed by, associate with, provide consulting services to, derive any compensation from, or act as a principal or agent of any broker, dealer, market-maker, underwriter, institutional investor, investment advisor, or investment company;
2. Act in any representative or advisory capacity or as the agent of any other person or entity, other than for an immediate family member, with respect to the purchases or sales of securities, whether or not he does so for compensation;
3. Serve as an agent or fiduciary or in any other capacity, whether or not for compensation, involving the management of any trust, fund, account, or portfolio containing securities other than any such trust, fund, account, or portfolio of his own or members of his immediate family;
4. Promote or facilitate the promotion of any securities either by participating in the founding and organizing of the business or enterprise of an issuer, recommending or causing others to recommend securities to potential investors, rendering or causing others to render investment advice, providing consulting services in connection with the purchase or sale of securities, publishing information in any quotation medium, disseminating any information about an issuer or its securities through television, radio, written publications, the Internet, or any other publicity medium, engaging in any conduct which is likely to effect the market activity of a particular issuer's securities, soliciting others to engage in any of the aforementioned conduct, or soliciting others to act as marketmakers, brokers, dealers, or underwriters;
5. Facilitate or attempt to facilitate any transaction involving the purchase or sale of securities, other than for an immediate family member, whether by participating in negotiations, introducing parties for the purpose of initiating negotiations, proposing a plan of financing or any other business arrangement, assisting the parties in obtaining financing, locating potential investors, or engaging in any other conduct connected with such a transaction.